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# Before Final - Official

# EFS Transmission Sheet

April 16, 2009

To:

Examiner Fazli Erdem

From:

Kevin C. Brown

Re:

In re the Application of:

Robert B. HALL and Oleg SULIMA

Serial No.: 10/586,193

,193 Art Unit:

2826

371(e) Date: May 5, 2008

Conf. No. 48

4885

Title: PHOTOTRANSISTORS, METHODS OF MAKING PHOTOTRANSISTORS, AND

METHODS OF DETECTING LIGHT

Our Docket No.: 857\_043

I hereby certify that the following documents are being transmitted via EFS to Examiner Fazli Erdem at the Patent and Trademark Office on *April 16, 2009*:

	<u>Paper</u>	# of Pages
1.	EFS Transmission Sheet	. 1
2.	Transmittal of Information Disclosure Statement 1-2 Certification Under 37 C.F.R. 1.97(e) 3-3 Information Disclosure Statement 4-5 Form PTO-1449 6-6	
	<ul> <li>Supplemental European Search Report dated January 22, 2009</li> <li>U.S. Reference(s) (Not Enclosed)</li> <li>Ref(s): AB - AF</li> </ul>	. 4
	Foreign Reference(s)  ► WO 01/22497	. 28
	► Wang et al	5
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Janet M. Stevens

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Robert B. HALL and Oleg SULIMA

Ser. No.: 10/586,193

Art Unit: 2826

371(e) Date: May 5, 2008

Examiner: Fazli Erdem

Confirmation No.: 4885

For:

PHOTOTRANSISTORS, METHODS OF MAKING PHOTOTRANSISTORS, AND

METHODS OF DETECTING LIGHT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### EFS CERTIFICATE OF TRANSMISSION

I hereby/certify that this paper is being transmitted via EFS to the Patent and Trademark Office on April 16, 2009.

Janet M. Stevens

# TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97(c)

# TIME OF TRANSMITTAL OF ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

- 1. The information disclosure statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last but *before* the mailing date of either:
  - (1) a final action under § 1.113,
  - (2) a notice of allowance under § 1.311 or
  - (3) an Office Action that closes prosecution.

#### STATEMENT OR SUBMISSION FEE

- 2. Accompanying this transmittal is
  - A. [X] a statement as specified in 37 C.F.R. 1.97(e).

OR

**B.** [ ] the submission fee set forth in 37 C.F.R. 1.17(p) for submission of an information disclosure statement under § 1.97(c). (\$180.00).

Fee due: \$.00

## METHOD OF PAYMENT OF FEE

3.	<ul><li>[ ] Attached is a check in the amount of \$.</li><li>[ ] Charge Account No. 50-1446 in the amount of \$. A duplicate of this request is attached.</li></ul>		
	[ ] The fees associated with this paper are being paid electronically with this submission.		
4.	[x] If any additional fees are due, please charge Deposit Account 50-1446.		
	Respectfully submitted,		
	April 16, 2009 Sline Ollin		
	Date Kevin C. Brown		
	Reg. No. 32,402		

KCB:jms

BURR & BROWN P.O. Box 7068 Syracuse, NY 13261-7068 Customer No.: 025191 Telephone: (315) 233-8300 Facsimile: (315) 233-8320 Practitioner's Docket No.: 857 043

**PATENT** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

Robert B. HALL and Oleg SULIMA

Serial No.:

10/586,193

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371(e) Date: May 5, 2008

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EFS CERTIFICATE OF TRANSMISSION

Mail Stop Amendment Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

I hereby-certify that this paper is being transmitted via EFS to the Patent and Trademark Office on April 16, 2009.

Janet M. Stevens

### **CERTIFICATION UNDER 37 C.F.R. § 1.97(e)**

Sir:

Each item of information contained in the Information Disclosure Statement filed [X] herewith was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

OR

No item of information in the Information Disclosure Statement filed herewith was [] cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

April 16, 2009

Date

Kevin C. Brown

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KCB:ims

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Practitioner's Docket No.: 857 043 **PATENT** 

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### EFS CERTIFICATE OF TRANSMISSION

I hereby certify that this paper is being transmitted via EES to the Patent and Trademark Office on

Apvíl 16, 2009.

Janet M. Stevens

## INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. Required copies of the references listed on Form PTO-1449 are attached.

Also enclosed is a copy of a Supplemental European Search Report from a corresponding European patent application bearing a mailing date of January 22, 2009, and the U.S. PTO is directed thereto for a concise statement of possible relevance of the references cited therein. Reference AE corresponds to References AC and AD.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that these references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446.

Respectfully submitted,

April 16, 2009

Date

Kevih C. Brown

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